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PATENT
139121

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ephraim Gutmark et al. :
Serial No. 10/811,219 : Group Art Unit: 3746
Filed: March 26, 2004 : Examiner:
For: METHODS AND APPARATUS :
FOR OPERATING GAS :
TURBINE ENGINES :

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

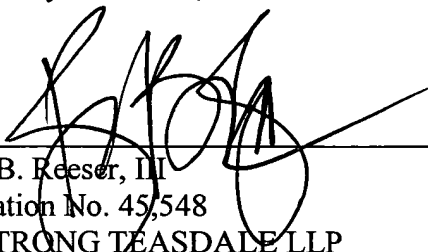
This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- ☐ a. The required Certification is stated in item 5 below; and
- ☐ b. The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 5. Certification
- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☐ 6. Payment of all applicable fees:
- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384.
- ☐ Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/811,219
				Filing Date	March 26, 2004
				First Named Inventor	Ephraim Jeff Gutmark
				Group Art Unit	3746
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	139121

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	6,502,383	B1	Janardan et al.	Jan. 7, 2003	
	AB	5,291,672		Brown	Mar. 8, 1994	
	AC	4,446,696		Sargisson et al.	May 8, 1984	
	AD	4,244,440		Matta et al.	Jan. 13, 1981	
	AE	4,135,363		Packman	Jan. 23, 1979	
	AF	4,064,961		Tseo	Dec. 27, 1977	
	AG	3,964,569		Neumann	June 22, 1976	
	AH	3,954,224		Colebrook et al.	May 4, 1976	
	AI	3,708,036		Duthion et al.	Jan. 2, 1973	
	AJ	3,630,311		Nagamatsu et al.	Dec. 28, 1971	
	AK	3,495,682		O. D. Treiber	Feb. 17, 1970	
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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
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